

Receiver ID No. _____	Name of Claimant: _____
Type of Claim: _____	

*The shaded area is for Receiver's office use only*

## **CLAIM FORM**

Securities and Exchange Commission vs. Steve Chen, USFIA Inc., Alliance Financial Group, Inc. (AFG), Amauction, Inc., Aborell Mgmt I, LLC, Aborell Advisors I, LLC, Aborell REIT II, LLC, AHome Real Estate, LLC, Alliance Financial, Inc., Apollo REIT I, Inc., Apollo REIT II, LLC, Amkey Inc., US China Consultation Association, and Quail Ranch Golf Course, LLC  
CASE NUMBER CV 15-07425 (RGK)(GJSx)

**THE SUBMISSION OF A CLAIM DOES NOT GUARANTEE YOU WILL RECEIVE A DISTRIBUTION OF ANY AMOUNT. THE CLAIM MUST BE REVIEWED BY THE RECEIVER AND ALLOWED BY THE COURT. CLAIMANTS WILL RECEIVE NOTICE AND THE OPPORTUNITY TO RESPOND IF THE RECEIVER DISAGREES WITH THE AMOUNT STATED ON THEIR CLAIM. FOR CLAIMS THAT ARE ALLOWED, DISTRIBUTIONS WILL BE SUBJECT TO FURTHER ORDER OF THE COURT. THE AMOUNT DISTRIBUTED WILL DEPEND ON THE TOTAL AMOUNT OF ALLOWED CLAIMS AND THE TOTAL ASSETS AVAILABLE FOR DISTRIBUTION. DISTRIBUTIONS FROM THE RECEIVERSHIP ESTATE MAY HAVE TAX CONSEQUENCES TO YOU. THE RECEIVER RECOMMENDS THAT YOU CONSULT WITH YOUR FINANCIAL ADVISOR. THE RECEIVER DOES NOT PROVIDE TAX ADVICE.**

**Title 18, United States Code, Section 1001, makes it a crime to make a materially false, fictitious or fraudulent statement or representation in connection with a case, proceeding or matter within the jurisdiction of the Judicial Branch of the Government of the United States. The penalty for making a materially false, fictitious or fraudulent statement or otherwise violating 18 U.S.C. Section 1001 is up to 5 years in jail.**

### **DEADLINE FOR SUBMITTING CLAIMS: June 29, 2018**

**ELECTRONIC CLAIMS NOT RECEIVED BY 5 P.M. PST ON June 29, 2018 WILL BE DISALLOWED. FAILURE TO SUBMIT A CLAIM WILL RESULT IN YOUR CLAIM BEING DISALLOWED AND WILL EXTINGUISH ANY AND ALL RIGHTS YOU MAY HAVE TO RECEIVE A DISTRIBUTION FROM THE RECEIVERSHIP ESTATE.**

A link to the electronic version of this claim form can be found on the receivership website:  
[www.usfiareceiver.com](http://www.usfiareceiver.com).

**Instructions for Completion of this Claim Form (PLEASE READ CAREFULLY):**

1. All claimants must complete Section I and Section X. Upon completing Section I below, all claimants must complete all additional sections as directed in Section I. Failure to follow instructions on this claim form may result in rejection or disallowance of your claim. *If you received the claims notice via email, enter the email address at which you received the notice into Section II below. If you did not directly receive a claims notice via email, you must enter a valid email address into Section II below.*
2. All claimants must provide documentation in support of their claims. If you are an investor, ONLY provide copies of the following (see Section VII below for further instructions regarding acceptable supporting documentation):
  - a. Proof of Identification;
  - b. Any/all bank records supporting **actual payment(s)** made to the Defendants or Relief Defendants listed above or their affiliates;
  - c. Any/all bank records supporting **actual payment(s)** received from the Defendants or Relief Defendants listed above or their affiliates;
  - d. IF and only if you made or received payment indirectly through a (distributor / leader / sponsor / upline) or other individual and you have written correspondence with that individual, you may provide a copy thereof.

You are required to provide copies of all *payment* documentation supporting your claim; claims submitted without sufficient supporting documentation may be disallowed.

3. If you are an investor and if your investment was made in the name of more than one individual or entity, please complete a separate claim form for each name and/or entity. However, if you made multiple investments under one individual or entity name, please include all information for all of your investments on one claim form.
4. If you are an investor, and if you paid via check or credit card and your check or credit card charge was rejected, reversed, charged back, did not clear or otherwise did not go through, do NOT include the original payment (which was reversed) on your claim.
5. If you are a trade creditor, employee or other claimant, you are required to provide ID and sufficient documentation supporting your claim; claims submitted without sufficient supporting documentation may be disallowed.
6. Please upload **copies** only and retain your original records; materials provided to the Receiver will not be returned to you. The Receiver will not provide conformed copies of completed claim forms.
7. If your contact information changes after you submit this claim form, you must send your new contact information to [claims@usfiareceiver.com](mailto:claims@usfiareceiver.com).
8. All currency data must be entered into the claim form in the form of currency with which you invested (e.g., Hong Kong Dollars if Hong Kong Dollars were invested, US Dollars if US Dollars were invested, etc.). All other data must be entered into the claim form in English with one exception – if your registered name is in Mandarin – please ALSO enter your registered name in Mandarin.
9. Failure to provide accurate information, including omission of amounts paid or received by you, may result in your entire claim being denied.
10. There is no charge for submitting a claim. If you are told by someone there is a charge associated with submitting a claim and instructed to pay the charge to that person, please contact the Receiver at [claims@usfiareceiver.com](mailto:claims@usfiareceiver.com).
11. Paper claims can be sent to: [claims@usfiareceiver.com](mailto:claims@usfiareceiver.com), or mailed to: Thomas A. Seaman, Receiver, USFIA Claims Processing, 17595 Harvard, Suite C 3300, Irvine, CA 92614





I made a **cash, check, or wire** payment directly into the following entities' bank accounts located outside of the **United States** (for example, HSBC bank accounts in the name of):

- AHome Real Estate
- Alliance Financial Group/ Alliance NGN
- Ally Investors
- Amauction
- Amkey
- Apollo REIT / Aborell REIT
- Chenne Corp
- Steamfont
- USFIA
- Other Entity (must provide name of entity here):

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I made a **credit card** payment directly to:

- AHome Real Estate
- Alliance Financial Group/ Alliance NGN
- Ally Investors
- Amauction
- Amkey
- Apollo REIT / Aborell REIT
- Chenne Corp
- Steamfont
- USFIA
- Other Entity (must provide name of entity here):

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Provide last four digits of credit card number used for payment (REQUIRED):

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I made payment directly to another Person - a "Leader," "Sponsor," "Upline," "Distributor," or other individual. (MUST provide information requested below.)

Name of person you paid and his/her contact information:

First Name:

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Last Name:

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OR

Entity Name:

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E-Mail Address:

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Street Address:

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City:

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State:

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ZIP Code:

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Country:

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Name on bank account of person you paid (if different from name provided above and if known):

Name:

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Name of bank of person you paid (if known):

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Last four digits of bank account of person you paid (if known):

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**B. Did you receive ANY payment from or through ANY Defendant (and/or affiliated) entities, the Global Cash Card method, any other investor or ANY other source affiliated with your investment? (May include return of investment, interest, bonus, dividends, refunds, etc.)**



**C. Did you receive ANY non-monetary product, bonus, prize, reward or other form of merchandise from or through ANY Defendant (and/or affiliated) entities?**

- Yes – I received product, bonus, reward or prize (s). **All items received MUST be listed in Section VI below:** Includes – amber, jewelry, purse or bag, electronics, car, or other items.
  
- No – I did not receive **any non-monetary** product or merchandise associated with my investment.

**SECTION IV**

**Amount Paid by Investor to USFIA or other entity or person**

**LIST EACH INDIVIDUAL PAYMENT SEPARATELY (attach additional page if necessary):**

	<b>Payment Date</b>	<b>Currency of Payment (USD, HKD, EUR, GBP, CHF)</b>	<b>Payment Amount</b>	<b>Payment Method (check, wire, cash, credit card, other)</b>	<b>Name of Entity / Individual who Received Your Payment</b>	<b>Name of Bank Account into Which Your Funds Were Transferred</b>	<b>Bank Account Number (into which your funds were transferred – include last four digits)</b>	<b>Country in which Bank Account is located (USA, Hong Kong, other)</b>
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								



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**SECTION V**

**Amount of Payments Received by Investors**

**AMOUNT OF PAYMENT RECEIVED BY YOU (LIST EACH INDIVIDUAL PAYMENT SEPARATELY) (attach additional page if necessary):**

	<b>Date Received</b>	<b>Amount Received by you</b>	<b>Type of Payment Received by you (Check, Wire, Cash, Electronic, Other)</b>	<b>Source of Payment Received by you</b>	<b>Bank Account Number (from which you received payment (if known - include last four digits))</b>
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

**SECTION VI**

**List of Non-Monetary Items Received by Investors**

**LIST OF NON-MONETARY PAYMENT RECEIVED BY YOU (LIST EACH INDIVIDUAL ITEM SEPARATELY) (attach additional page if necessary):**

	<b>Date Received</b>	<b>Item Description</b>	<b>Approximate Value</b>	<b>Purpose for receipt (Gift, reward, bonus, prize, if other provide explanation)</b>
1				
2				
3				
4				
5				
6				

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**SECTION VII**  
**Documentation and Support from Investors**

- A. Provide a copy of your Driver’s License, Passport or government-issued Identification Card.**
- B. The following payment supporting documentation is REQUESTED to assist with your claim review. The supporting documentation is dependent upon the method with which you made your payments, and you are strongly encouraged to provide this information. Lack of sufficient supporting documentation may cause your claim to be disallowed.**
1. Check – A copy of the cancelled check (both front and back sides) and supporting bank statement showing cancelled check clearing the bank. If you do not have this information, you should request it from your banking institution.
  2. Wire – A copy of the wire transfer form and supporting bank statement showing wire clearing the bank. If you do not have this information, you should request it from your banking institution.
  3. Cash/Cashier’s Check/Money Order – Provide copy of the deposit receipt/slip (if made directly into a bank account), cashier’s check, money order and/or remittance slip along with supporting bank statement showing withdrawal.
  4. Credit Card – A copy of your statement showing the charge.
  5. Other – Bank records sufficient enough to verify payment was made.

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**SECTION VIII**  
**Payroll Claims**

- A. EMPLOYEES** **Total amount of claim: \$** \_\_\_\_\_
1. Amount of compensation owed: \$ \_\_\_\_\_
  2. Period(s) for which compensation is due:  
From: MM-DD-YYYY \_\_\_\_\_ To: MM-DD-YYYY \_\_\_\_\_
  3. Vacation time unpaid: Total due: \$ \_\_\_\_\_ Rate per hour: \$ \_\_\_\_\_ Hrs. accrued \_\_\_\_\_
  4. Provide copy of last payment from the company.

All claimants claiming to be an employee must provide proof of employment (*e.g.*, agreement, prior W2, etc.), and provide a copy of your Driver’s License, Passport or government-issued ID Card. If you were a 1099 contracted employee, your claim must be included in Section VIII below (not in this Section VII).

